

Generalized Set Theories in Isabelle/HOL

<https://github.com/ultra-group/isabelle-gst>

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2022-07-12

- **Isabelle/HOL**: math-objects organized into lots of different types. e.g.,

$$\pi :: \text{real}, \quad \langle 5, 5 \rangle :: \text{nat} * \text{nat}, \quad (\lambda x. x = \pi) :: \text{real} \Rightarrow \text{bool}$$

- What if we don't want this?
- **Isabelle/ZF**: implement math-objects as 'pure' sets living in one big type d. e.g.,

- **membership relation**:

$\in :: d \Rightarrow d \Rightarrow \text{bool}$ satisfying ZF axioms

- **natural numbers**:

$$0 := \emptyset, \quad 1 := \{0\} = \{\emptyset\}, \quad 2 := \{0, 1\} = \{\emptyset, \{\emptyset\}\},$$

$$3 := \{0, 1, 2\} = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\},$$

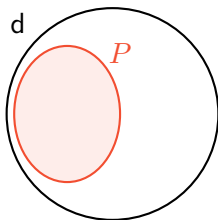
- **ordered pairs**:

$$\langle 0, 1 \rangle := \{\{0\}, \{0, 1\}\}$$

$$= \{\{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}$$

Soft Types

- Most objects have the **same type**. e.g., $3 :: d$, $\langle 0, 1 \rangle :: d$.
- Keep track of meaning using **soft types**. (type-like predicates $P :: d \Rightarrow \text{bool}$, where $x : P$ abbreviates $P x$).



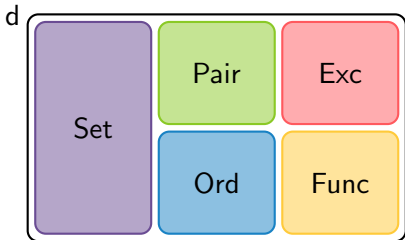
- e.g. $\emptyset : \text{Set}$, $\langle 0, 1 \rangle : \text{Nat} * \text{Nat}$, $\mathcal{P} : \text{SetOf Set} \rightarrow \text{Set}$.
- But in ZF we have **representation overlaps!**
e.g., both $3 : \text{Nat}$ and $3 : \text{Set}$ hold.

Generalized Set Theories (GSTs) — HOL axioms s.t.

- avoid type system awkwardness:
most math-objects live in a single domain type d .
- avoid representation overlap:
sets, functions, numbers, pairs, etc. can all be distinct.
- allow support for partial functions/undefinedness:
a special 'exception object' $\bullet :: d$ inside the domain, where
 $\pi \div 0 = \bullet$, and $\{\bullet\} = \bullet$, etc.

GST Axioms

- Mix and match axioms **features** for different math-objects (sets, pairs, ordinals, functions, etc.)
- Extra axioms to ensure a **partition** on the type:
 - **cover**: $\text{Set} \sqcup \text{Pair} \sqcup \text{Ord} \sqcup \text{Func} \sqcup \text{Exc} = \top$
 - **disjoint**: $\text{Set} \sqcap \text{Pair} = \perp$, $\text{Set} \sqcap \text{Ord} = \perp$, ...
 $\text{Pair} \sqcap \text{Ord} = \perp$, $\text{Pair} \sqcap \text{Func} = \perp$, ..., etc.



Example: Pointwise Subtraction

- We can define an **overloaded** subtraction operator on natural numbers $n :: d$ and functions $f :: d$, where $f : \text{Nat} \rightarrow \text{Nat}$.

$$(-) :: d \Rightarrow d \Rightarrow d$$

- If $n, m : \text{Nat}$,
 - $n \geq m$ implies $n - m : \text{Nat}$,
 - $n < m$ implies $n - m = \bullet$
- If $f, g : \text{Nat} \rightarrow \text{Nat}$,
 - then $\forall b : \text{Nat} . (f - g)(b) = f(b) - g(b)$
- Let $(x : \text{Maybe } P) := ((x \neq \bullet \rightarrow x : P) \vee x = \bullet)$.
- We can prove the soft intersection type:

$$(-) : (\text{Nat} \rightarrow \text{Nat} \rightarrow \text{Maybe Nat})$$

$$\sqcap ((\text{Nat} \rightarrow \text{Nat}) \rightarrow (\text{Nat} \rightarrow \text{Nat}) \rightarrow (\text{Nat} \rightarrow \text{Maybe Nat}))$$